## HEMCHANDRACHARYA NORTH GUJARAT UNIVERSITY PATAN- 384 265

## Ph. D. ORDINANCES

Effective from Academic Year: 2020-21



Ordinances pertaining to admission, registration of students pursuing research leading to award of degree of Doctor of Philosophy. Also rules and regulations for recognition as supervisor of doctoral research for a "teacher" working in Department or any affiliated college of the Hemchandracharya North Gujarat University, Patan.

## Ordinance Governing Enrolment of Candidates for Research Leading To Ph.D. In Various Faculties.

Ordinance Number	Title	Page Number
O. Ph. D. 1	General Rules.	03
O. Ph.D. 2	Eligibility Criteria For Admission to Ph. D. Programme	05
O. Ph. D. 3	Procedure For Admission.	06
O. Ph.D. 4	Approval of Synopsis by RAC and Confirmation of Admission.	08
O. Ph.D. 5	Intake Allotted to research supervisor.	10
O. Ph. D. 6	Recognition of Ph. D. Guide.	10
O. Ph. D. 7	Fees Payable by the Research Scholars.	11
O. Ph. D. 8	Ph. D. Course Work.	11
O. Ph. D. 9	Duration of the Ph. D. Programme.	12
O. Ph.D. 10	Guidance for Doctor of Philosophy (Ph. D.).	12
O. Ph.D. 11	Period of Research Work.	13
O. Ph.D. 12	Progress Review.	13
O. Ph.D. 13	Pre-Thesis Viva-Voce.	13
O. Ph.D. 14	Submission of Thesis.	14
O. Ph.D. 15	Evaluation of Ph.D. Thesis.	15
O. Ph.D. 16	Viva-Voce and/or Practical Examination.	16
O. Ph.D. 17	Award of the Doctorate (Ph.D.) Degree.	17
O. Ph.D. 18	Cancellation of Ph. D. Registration.	18

# ORDINANCE GOVERNING ENROLMENT OF CANDIDATES FOR RESEARCH LEADING TO Ph. D. IN VARIOUS FACULTIES.

#### O. Ph. D. 1 General Rules.

- 1.1 Hemchandracharya North Gujarat University, Patan, awards the degree of Doctor of Philosophy (Ph.D.) in all faculties, to a candidate who has successfully completed the stipulated norms for the programme of research.
- 1.2 A candidate to be awarded Ph. D. Degree has to submit a thesis in English except for research in social science and humanities embodying the findings of his/her original research carried out under this programme. The thesis should make an original contribution of high quality to the advancement of knowledge as judged by the experts in the relevant area.
- 1.3 Before submitting final thesis, the student has to submit his/her synopsis in English or in the concerned language. In case the candidate submits his/her thesis in any language other than English, he/she has to submit the findings as summary of the thesis in English language.
- 1.4 A candidate becomes eligible for the award of the Ph. D. Degree after fulfilling academic requirements prescribed by the University.
- 1.5 The Ph. D. degree shall be awarded in the discipline of the Faculty in which the student is registered for the Ph. D. programme. The title of the thesis, subject and faculty shall be mentioned in the notification.
- 1.6 Application for admission to Ph.D. courses shall be invited by the University through notification once in a year or as notified by the University through the advertisement in leading news paper with wide circulation and also through University website. A candidate has to appear in an Entrance Test conducted by the University either online or offline mode as decided by the University. The prescribed application form; either online or physical mentioned in the university advertisement, will be made available from the University website (www.ngu.ac.in\Ph.D. Programme or at the cash counter by paying forescribed form fee either online or cash. Candidates are advised to go through and follow all the Ph. D. Ordinances, rules and instructions; which are available on university website before filling up the application form. The incomplete application form/ any false information in the application form/ application form submitted after the due date or application form not accompanied by the prescribed fee and required documents shall be rejected.
- 1.7 Candidates who have qualified UGC-NET / NET-JRF / UGC-CSIR NET /GSET /GATE / teacher fellowship or have passed M. Phil. programme as per the UGC Regulations (July, 2009) and later amendments shall be exempted from the Ph. D. Entrance Test.
- 1.8 The results of the Ph.D. entrance test/Merit list shall be valid for the same academic year only (academic year for which the advertisement is given) and it cannot be used for the admissions for the subsequent year/s.

- 1.9 The University shall decide on an annual basis through their academic bodies, a predetermined and manageable number of Ph.D. scholars to be admitted, depending on the number of available seats with the Research Guides and other academic and physical facilities available.
- 1.10 Considering to the availability of Physical Facilities, Infrastructure, laboratory, instruments, and other requirements, each guide shall inform the University regarding number of candidates that he/she intends to register under him/her supervision in advance.
- 1.11 The University will notify well in advance, through the University website and/ or through advertisement in newspapers, regarding the Ph.D. admission process and all other relevant information for the benefit of the candidates.
- 1.12 The admissions to Ph.D. degree are subject to the reservation policy of the State government and rules of the university made effective from time to time.
- 1.13 The University shall maintain the list of all the Ph. D. registered students on the university website. The list shall include details concerning to the name of the registered candidate, title of his/her research name of his/her Guide/co-Guide, and date of registration.
- 1.14 The syllabus for the Entrance Test shall consist of both (a). Research Methodology (50% -weightage) and (b). Subject-Specific (50%- weightage) as per UGC norms.
- 1.15 The subject wise number of seats for Ph. D. shall be decided well in advance and notified on the University web site or by an advertisement for the Ph. D. admission process. The University shall also notify the list of supervisors and their respective area of interest/expertise on the University website. The University shall inform the eligible candidates to fulfill the other formalities through University website and no personal intimation shall be made regarding the same.
- 1.16 The syllabus of examination for the Ph. D. Admission entrance test shall be same as for UGC/CSIR NET Examination,
- 1.17 The candidates applied under Non-Exempted category for the admission process shall compulsorily appear in the entrance test for the subject he she has applied.
- 1.18 Merely filling up an application form does not entitle the candidate for admission. The admission is purely based on the entrance examination and interview, subject to fulfilling the other requisite criteria.
- 1.19 Application Fee once paid, is not refundable.
- 1.20 Each students has to carry out his/her research work at his/her own risk and cost either in laboratory or field.

#### O. Ph.D. 2 Eligibility Criteria for Admission to Ph. D. programme:

2.1 A candidate seeking admission in Ph. D. Programme is required to apply for the programme with specified application fees. Incomplete Application form or form not accompanied by the prescribed fee and required documents are liable to be rejected.

- 2.1.1 A candidate(s) seeking admission in Ph.D. must have Master's Degree in relevant or allied subject with at least 55% marks (50 % for SC/ST/OBC-Non Creamy Layer/ differently abled candidates having more than 40% disability) in aggregate or its equivalent grade in the UGC 7- point scale (or an equivalent grade in a point scale wherever the grading system is followed. Any fraction will not be rounded off for calculating the percentage for eligibility i.e. the eligibility marks of 55% (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation of 5% to the categories mentioned above are permissible based only on the qualifying marks without including the grace mark procedures. Candidate in the Faculty of either Arts, Education, Commerce, Rural Studies or Social Studies, who has taken his/her Master's degree in Economics, accountancy, may be registered for the Ph. D. degree, in the respective faculty.
- 2.2 The State/University reservation policy shall be followed for admission in Ph.D. programme.
- 2.3 A supervisor cannot be co-supervisor for more than two students at any given point of time.
- 2.4 Once advertised seats cannot be withdrawn unless any unavoidable circumstances.
- 2.5 Students those awarded UGC-CSIR (JRF) or Inspire Fellowship in last or current year [who has received fellowship] and students from foreign universities are exempted from the entrance test and they should be registered directly to the Ph. D. Programme and such seats are treated as super-numeri under the concerned research supervisor and would be adjusted against the maximum limit of seats of the supervisor as an when vacancy is created.
- 2.6 Foreign students must have cleared IELTS with minimum of 6 band overall (with 5.5 band in each head) or have scored 550 score in TOEFL.

## O. Ph. D. 3 Procedure for Admission:

- 3.1 Candidate intending to register for Ph. D. programme has to follow the admission procedure of the University.
- 3.2 The entrance test shall be of Multiple Choice Questions the question paper for entrance test shall consist of two sections constituting 50 MCQs each. Each question carry one mark section-I shll be composed of the MCQ test structure shall consist of 100 MCQs of one mark each. 05 questions each related to English Proficiency, Reasoning Ability and Basic Computer Skills while 35 Questions will be from discipline specific Research Methodology the subject specific 50 MCQs shall be asked as per UGC-CSIR NET syllabus. The syllabus of the entrance examination for both the sections will be notified on the University website. Passing standard of the entrance examination shall be 50% (45 % for SC/ST/OBC-Non-Creamy Layer and Differently abled candidates having more than 40% Disability)
- 3.3 The duration of the entrance test shall be 90 minutes. There shall be negative marking and 0.25 marks shall be deducted for each wrong answer.

- 3.4 Interview/viva-voce shall be conducted for successful candidates in entrance test as well as candidate who are exempted from the entrance test. In case, the number of candidates who qualify the entrance test/Exempted students happen to be more than the number of seats available in the respective category, then as per the available seats in various categories, reasonable number of merit listed candidates (as decided by the Admission Committee) shall be called for the interview/viva-voce to discuss their research interest/area through a presentation before a duly constituted Research & Development Committee of the respective faculty.
- 3.5 Interview/Viva-Voce shall be held at the University head quarter after the declaration of the entrance examination results, as per schedule announced by the Admission Committee.

*The Committee: The committee shall consist of four members:* 

(a) Head of the respective Departments of the University or the Chairpersons of the Board of Studies of Subjects of which there is no University Department exist so far.

Three faculty members nominated by Vice Chancellor of whom one from the University approved guide and two may be from other Universities in the Concerned Subject. The interview/viva voce shall cover the following aspects:

- (i) To evaluate the research aptitude of the applicants
- (ii) The candidate possesses the competence to carry out the proposed research. Undertaken at the University/College.
- (iii) The proposed area of research can contribute to new knowledge.
- (iv) Societal relevance/applicability of proposal research.
- 3.6 The Final Merit list shall be prepared by the Ph.D. Admission Committee after completion of the Viva-Voce as per criteria specified in ordinance and regulations of University.
- 3.7 The total seats under the Ph. D. Programme is bifurcated as follow;
  - (a) 85 % seats shall be reserved for candidates who have passed their Master degree examination from Hemchandracharya North Gujarat University. Out of these 55 % seats shall be filled up through entrance examination and remaining 45 % seats shall be filled up from NET / SET / M.Phil. qualified candidates.
  - (b) 15 % seats shall be reserved for the candidates who have passed their Master Degree examination from the University other than Hemchandracharya North Gujarat University.

The above bifurcation may be Vice – Versa if any seat remain vacant from (a) or (b) above.

3.8 The merit list after Interview/Viva-voce shall be prepared by the Ph.D. Admission Committee as per following criteria.

The merit list of Successful candidates in entrance examination and exempted from entrance (55% Students of 85% seats from HNGU; 45% students of 85% seats from HNGU;) 15% seats from other University of Gujarat state and universities out of the Gujarat state shall be prepared as per the following:

- 1) UG % converted out of 30 marks.
- 2) PG % converted out of marks 30.
- 3) Viva Voce out of marks 30. Total out of 90 marks

# O. Ph.D. 4 Approval of Synopsis by Title Approval Committee and Confirmation of Admission.

- 4.1 Candidates will be allotted supervisors as per their merit, availability of seats and subject expertise of guide. If there is no vacancy with the proposed supervisor, the student will be registered with another supervisor available, provided the supervisor gives consent for the same. There shall be no waiting list for any supervisor. If a student is unable to get a seat, he/she shall have to reappear for the test as and when declared. He/she shall be considered waiting candidate maximum for a period of six months from the date of declaration of the results of entrance test. After six months the wait list shall stand cancelled automatically.
- 4.2 For allotment of students and identifying proper guides (depending upon the expertise of the guide and area of interest of student) there shall be a Four Member Committee (TAC) chaired by the Head of the University Department of the Concerned Subject. Decision of the committee shall be final in this matter.
  - a) Dean of the Concerned Faculty
  - b) Head of the Department of the Concerned Subject/ the Chairpersons of the Board of Studies of Subjects of which there is no University Department established so far. (Chairperson)
  - c) Two Members nominated by the Vice Chancellor from the Subject Concerned of which one shall be from other University.
- 4.3 Each Ph.D. student shall prepare and submit his/her research proposal to be forwarded with recommendation by the (RAC) (TAC) to the BUTR. If needed, the RAC may modify the synopsis or refer back to the student through BUTR for modification. If the RAC is satisfied and approved by BUTR (Board of University Teaching & Research) the title of research will be final.
- 4.4 The Research proposal shall be evaluated by the RAC consisting of :
  - (a) Dean of the Concerned Faculty (Convener, Ex-officio).
  - (b) Head of the Department Concerned/Chairman of Board of Studies of subjects of which there is no University Department. (Ex-officio)
  - (c) Two Ph. D. Guides of the concerned faculty/Subject nominated by the Vice-Chancellor.
  - (d) Not more than Two Subject Experts from Other University, nominated by the Vice Chancellor.

- (N.B.: At least, 50% of the members shall be required to be present in the meeting to form the quorum.)
- 4.5 Admission shall be offered to the candidates, selected through merit and in accordance with the number of vacancies already declared by the university under different specializations/ faculties, and approved by the BUTR.
- 4.6 The candidates who have been offered admission shall have to join the programme within a specified time decided by the admission committee.
- 4.7 Successful candidates shall have to apply for registration in the prescribed format with the research proposal prepared in consultation with the concerned supervisor.
- 4.8 The applicant shall be registered on such conditions and on such topics as may be suggested by the RAC and on payment of requisite fee and fulfilling other formalities.
- 4.9 After registration, the candidate shall start their Research work under the guidance of supervisor on a specific problem. The research work is expected to result in new findings/supplement the advancement of knowledge in chosen field. Doctoral research program gives an opportunity to scholars to demonstrate their analytical, innovative and independent thinking, leading to creativity and application of knowledge. Scholars are required to present their research progress regularly to the departmental research committee(DRC). Finally, they shall be required to submit the thesis embodying their research findings for the award of the Ph.D. Degree.

## O. Ph.D. 5 Seats of research supervisor:

A Ph.D. supervisor of the University shall not have, at any given time, more than the following number of research scholars:

Professor/ Principal of college (with AGP 10,000) : 08 - Ph. D. Scholars Associate Professor / Principal of college (with AGP 9000) : 06 - Ph.D. Scholars Assistant Professor : 04 - Ph. D. Scholars

At any given print of time no teacher can get as co-supervisor for more than 3 students.

## O. Ph. D. 6 Recognition of Ph. D. Guide:

- 6.1 Recognition will be given in the faculties and in the respective subjects offered in the University Department/PG College. Professor (including Professor-CAS) and Associate Professor (including Associate Professor-CAS) of this university become guide ex officio. They need to apply in writing for the allotment of the students.
- 6.2 The BUTR shall recognize any teacher of the university department and affiliated College of the Hemchandracharya North Gujarat University as a Ph. D. guide as per the fulfillment of Ph. D. Guide-ship norms.

6.3 As per the Ph.D. Ordinance of the University existing norms, the BUTR shall look into the fulfillment of the criteria before giving recognition to Ph.D. supervisors.

Only a full-time regular teacher of the University/Institution deemed to be a University/College can act as a supervisor. The external supervisors are not allowed. However, Co-Supervisor can be allowed in inter-disciplinary areas from other departments of the same institute or from other related institutions with the approval of the Research Advisory Committee.

In case of topics which are of inter-disciplinary nature where the Department concerned feels that the expertise in the Department has to be supplemented from outside, the Department may appoint a Research Supervisor from the Department itself, who shall be known as the Research Supervisor, and a Co-Supervisor from outside the Department/ Faculty/PG College/Institution on such terms and conditions as may be specified and agreed upon by the consenting Institutions/PG Colleges.

## O. Ph. D. 7 Fees Payable by the Research Scholars:

- 7.1 The research scholar shall have to pay the requisite fee prescribed by the University failing which his/her registration shall be cancelled.
- 7.2 The fee may be charged under the heads like—Registration Fee (at the time of registration), Course Work fee (for those who are required to complete Course Work);; Semester/continuation fee; Laboratory fee for science subjects and other subjects involving laboratory work; Evaluation fee to be charged at the time of submission of Ph.D. thesis; Late fee for delayed payment of annual fee; and/or any other applicable fees as prescribed by the University from time to time.
- 7.3 The amount of fee shall be subject to change as decided by the University from time to time.

#### O. Ph. D. 8 Ph. D. Course Work:

- 8.1 All candidates admitted to the Ph.D. programme shall be required to complete the course work prescribed by the University during the first two semesters or as per the schedule decided by the university.
- 8.2 Each Ph.D. scholar has to obtain a minimum of 55% of marks or its equivalent grade in the UGC 7-point scale (or an equivalent grade/CGPA in a point scale wherever grading system is followed) in the course work in order to be eligible to continue in the programme and submit the dissertation/thesis.
- 8.3 The candidate has to secure a minimum of 55% marks in the course-work examination. A candidate that fails to get minimum of 55% marks in the Coursework examination shall have to reappear for the next examination as and when conducted by the university.

8.4 The course work comprises of followings modules listed in the table given below.

Paper No.	Nomenclature	Credits
Paper-I	Research Methodology	04
Paper-II	Advance course in related subject	04
Paper-III	Computer Applications to the subject	04
Paper-IV	Review of published research in the relevant field	04
Paper-V	Comprehensive Viva	04
	Total	20

## O. Ph. D. 9 Duration of the Ph. D. Programme:

- 9.1 Ph.D. programme shall be of a minimum duration of three years, including course work and a maximum of six years. The registered Ph.D. scholars shall have to present their Ph.D. work progress report every six months to the university compulsorily.
- 9.2 The women candidates and specially abled candidates (more than 40% disability) may be allowed a relaxation as per UGC norms notified from time to time. In addition, the Women Candidates may be provided Maternity Leave/Child Care Leave once in the entire duration of Ph.D. for up to maximum 240 days/UGC notification from time to time. Woman candidate, who does not avail maternity leave, will have to complete Ph.D. programme as per provisions for male candidates.

## O. Ph.D. 10 Guidance for Doctor of Philosophy (Ph. D.):

- 10.1 It shall not be obligatory for a candidate registered for the Ph.D. degree to reside in the same town where his teacher resides or works, unless the research work of the candidate concerned involves laboratory and / or field work. Provided that in the research work involving no laboratory and or field work the candidate concerned will be required to keep in close contact with his/her supervisor and, he/she shall have to discuss the research problem at least twice in a term.
- 10.2 For the purpose of fulfilling the conditions of keeping academic terms required, the first term of the said stipulated terms, shall be counted as under:
  - (a) If the topic of the thesis for Ph.D. is recommended by the RDC, and the same is approved by the University on any date during the first half of an academic term, that term shall be counted as the first term.
  - (b) If the topic of the thesis for Ph.D. is approved by the University on any date during the second half of an academic term, the term following the said academic term shall be counted as the first term.

10.3 Attendance and Library and/or Laboratory or Field Work.

Research scholars shall have to attend their respective departments and/or laboratories according to the prearranged time-tables and the records of their attendance shall be maintained by the supervisor concerned and monitored by Head of Department Principal of the concerned college.

## O. Ph.D. 11 Period of Research Work:

- 11.1 A student registered for the Ph.D. degree can submit the thesis after the completion of 3 (three) years i.e six terms of research work from the date of registration, but not later than 6 (six) years.
- 11.2 However, if a scholar fails to submit the thesis within 6 (six) years from the date of registration, he/she may be allowed with the permission of Vice Chancellor, an extension of one year which will be effective after the completion of the 6th year of registration (i.e. total 7 years). Thereafter, the registration stand automatically cancelled.
- 11.3 For extension of one year the scholar shall be required to submit an application through the Guide(s) justifying the need thereof by paying requisite extension fee.

## O. Ph.D. 12 Progress Review:

12.1 The candidate will have to present his/her progress of the research work in the Concerned University Department to the Departmental Research Committee at the end of each term. Term fees shall not be accepted unless it is accompanied with progress report from respective guide.

#### O. Ph.D. 13 Pre-Submission Presentation:

- 13.1 Each Ph. D scholar has to make a Pre Submission presentation to DRC at concerned department at the university head quarter before the final submission of Ph.D. thesis. This may be attended by the faculties of the Departments and the students interested. The information shall be circulated regarding pre Submission presentation in all concerned Departments.
- 13.2 The scholar has to incorporate the changes in Final Thesis as may be suggested by DRC during Pre Submission presentation.
- 13.3 The certificate for the same in the prescribed format signed by the Head of the department concerned and guide should be submitted to the University for Further Process and the same should be put in the thesis.

## O. Ph.D. 14 Submission of Thesis:

14.1 Before submitting the thesis, the candidate shall forward it to the Registrar, through his/her guiding teacher, a statement giving the title and a synopsis of his/her thesis along with prescribed fee.

Before the submission, every candidate must have presented at least two research papers in national or international conference, and at least one research paper must have published in journal listed in web of science (WOS) SCOPUS or UGC care list. These published and presented papers must be annexed in the thesis.

The thesis maybe submitted at any time during the year and shall be forwarded by the candidate through his/her guiding teacher but not later than six months of submission of synopsis. In case a candidate could not submit the thesis within six months of submission of synopsis, he/she shall have to pay the examination fee again.

- 14.1 University shall strictly follow the rules formed by the UGC, wide its letter no-F.1-18/2010(CPP-II) dated 1<sup>st</sup> September, 2017 and amendments as and when notified by UGC regarding Plagiarism.
  - Further, the candidate if published any paper out of the work embodied in the thesis, before submission to the University, the same content of the research paper cannot be incorporated unless quoted. For this, every student has to abide by the UGC notice for self-plagiarism.
- 14.2 The scholar has to submit Four Copies (Five copies in case of joint guides) of his/ her thesis. Students are permitted to print their thesis on both the side of page with proper margin on both the sides. The thesis should embody the result of research and show evidence of originality through, a declaration by the candidate that he/she has not practiced plagiarism for preparing the thesis. The thesis must also contain a declaration from the scholar to the effect that the thesis or part thereof was not submitted by any research degree to this University or any other University/Institution. The scholar shall also submit a soft copy of the thesis in PDF format. Separate PDF of the content, list of tables, list of figures (if anv) each certificate. each chapter, bibliography and conclusion/summary to the ETD Laboratory.
- 14.3 A soft copy of the thesis (PDF format) must be forwarded to INFLIBNET and the same PDF format in CD must be forwarded to the UGC New Delhi. If the language used in the thesis is other than English, a summary at the findings must be submitted in English language along with the thesis and the same must be forwarded to the ETD Lab, INFLIBNET and UGC.

The thesis must contain a certificate from the guide(s) stating that:

- (i) The scholar has fulfilled all requirements under above rules.
- (ii) The thesis is the result of the scholar's own investigation.
- (iii) A certificate forwarded by guide and head of the respective department stating successful pre-submission presentation by the candidate.

## O. Ph.D. 15 Evaluation of Ph.D. Thesis:

15.1 Every thesis for Ph. D. degree shall be examined by three referees, and they shall be appointed in the manner indicated here below:

The Vice Chancellor shall appoint a panel of three Examiners which is to be approved by BUTR and Executive Council one of whom shall be an internal referee- the University teacher guiding the candidate's work, and the other two must be external, one from outside the Gujarat State and one from within the state of Gujarat. The supervisor shall recommend a list of minimum of six referees (with their mobile numbers and email address) of the same area of study from which three shall be within the state and three shall be out of Gujarat.

#### Explanation:

- (a) External examiner shall mean any examiner other than guide(s), not in the University service/not belonging to institution of the candidate and the guide(s).
- (b) From the panel finalized as above, the Vice-Chancellor shall select the Examiners and the Registrar will take necessary steps for the evaluation of the thesis. In case of joint guides, either a combined report or two separate reports may be obtained.
- (c) The examiners selected by the Vice-Chancellor shall be invited to evaluate the thesis and request to communicate the acceptance within ten days of receiving the invitation. (All communications are preferred by electronic media or mail to save time).
- (d) Selected examiner shall be requested to submit his/her report within one month from the receipt of the thesis.
- (e) All the examiners appointed to evaluate the thesis shall be requested to send along with the report on the thesis, questions to be put to the scholar during the Viva-Voce and/or practical examination.
- (f) If the thesis is suggested for re-submission after revision by any of the examiners, the scholar will be allowed to re-submit the thesis after revision in the light of the comments of the examiners within six months on payment of half of the prescribed examination fees and the revised thesis will be sent to only those examiners who have suggested revision. If the revised thesis is recommended, the reports and the recommendations will be considered along with the other report already received and will be processed.
- (g) If the thesis is rejected by both the external examiners, it shall be rejected.
- (h) If the thesis is rejected by one external examiner and accepted by the other external examiner, the third external examiner will be appointed in place of examiner who rejected the thesis, by the Vice-Chancellor.
- (i) In extra ordinary circumstances, if there is a need to change the referee, the Vice-Chancellor shall be the final authority.

#### O. Ph.D. 16 Viva-Voce and/or Practical Examination:

- 16.1 There shall be a viva-voce examination of the candidate who has submitted thesis for the degree of Ph.D. It shall be held at the University head quarter in person only except any unavoidable or emergency situation. If the examiner is unable to travel then the second examiner is to be called for the viva-voce examination. If both the examiners are unable to travel then under these circumstances, the Vice Chancellor may permit as a special case to conduct viva-voce at the place of either of the referee or place suitable to University or through online mode.
- 16.2 Generally, the external referee to be invited for viva-voce examination should be from the nearest destination to avoid extra financial burden of air fare to the university. The referee called shall be paid actual car fare. The air fare is allowed with the prior permission of the Vice-Chancellor.
- 16.3 The viva-voce should be open house meaning anyone who is interested from the institution may attend the same. The viva voce should be conducted strictly under CCTV surveillance.
- 16.4 If a candidate does not satisfy the examiners at the viva-voce examination, he/she shall be re-examined after a period of not less than three months, the candidate shall not be declared eligible for the degree unless all the Examiners unanimously declare him eligible for the degree.
- 16.5 In the event of re-examination of candidate at the viva-voce examination only who had failed to satisfy the examiner at the viva-voce examination, shall be required to send the examination form along with the payment of half of the original fee through his/her supervisor(s).

## O. Ph.D. 17 Award of the Doctorate (Ph.D.) Degree:

- 17.1 If the thesis is recommended for the award Ph. D. degree after successful Viva-Voce, the Registrar with the approval of the Vice-Chancellor shall notify the result.
- 17.2 A Certificate under the seal of the University and signed by the Registrar will be issued to each successful candidate.
- 17.3 For all other matters not covered above, the decision of the BUTR shall be final and binding.
- 17.4 After declaration of the PhD. Notification, the candidate has to upload his/her thesis on "SHODHGANGA" within 30 days only. The candidate submitting the thesis in the language other than English then he/she should upload the summary of the thesis in English.
- 17.5 Special provisions under initiative of the UGC;
  - (i) In case of relocation of woman an Ph.D. scholar due to marriage or otherwise research data shall be allowed to be transferred to the University to which the scholar intends to relocate provided a) all other conditions in these regulations are followed and b) the research work

- does not pertain to a project secured by the parent institution/supervisor from any funding agency. The scholar will give credit to the parent guide and institution for the part of the research already done.
- (ii) Award of degrees to candidates registered for the M. Phil. / Ph.D. programme prior to July 11,2009 shall be governed by the provisions of the then existing Ordinances/ Bylaws/ regulations of the institution awarding the degrees and they shall be exempted from requirement of the minimum eligibility condition of National Test/State Test/State Eligibility Eligibility Eligibility Test recruitment of Assistant Professor or equivalent positions in University/colleges/institutions subject to the fulfillment of the following conditions:
  - (a) Ph. D degree of the candidate awarded in regular mode only;
  - (b) Evaluation of the Ph.D. thesis by at least two external examiners;
  - (c) Open Ph.D. viva voce of the candidate had been conducted.
  - (d) Candidate must have one research publication from his/her Ph.D. in a journal listed in **Web of Science** or **SCOPUS** or **UGC** care list.
  - (e) Candidates has made at least two presentations in conferences/ seminars, based on his/her Ph.D. work, of which one must be of National level.

## O. Ph.D.18 Cancellation of Ph. D. Registration:

The registration of a student is liable to be cancelled for any of the following reasons:

- (a) Consistent lack of progress in research.
- (b) Violation of discipline and rules of the Department/College.
- (c) Non-submission of the thesis within the stipulated period.
- (d) Non-conformity with the regulations of the programme.
- (e) Giving false information at the time of application/admission or in between the entire duration of Ph.D. Work.

\_\_\_\_\_